

ADMINISTRATIVE SET-ASIDE FFY16

	DOH	EFC	Available
	Administrative	Administrative	Administrative Set-Aside
Available Funds			
Funds from FFY2012	\$1,135,000.00	\$0.00	\$1,135,000.00
Funds from FFY2013	\$550,000.00	\$0.00	\$550,000.00
FFY 2016 Cap Grant (\$39,900,000)	\$0.00	\$1,596,000.00	\$1,596,000.00
Total Available	\$1,685,000.00	\$1,596,000.00	\$3,281,000.00
Category of Expenses for FFY 2016			
1. Personal Services	\$580,503.00	\$1,545,000.00	\$1,850,000.00
2. Fringe=DOH 53.58%, EFC 50.83%	\$311,034.00	\$785,000.00	\$311,034.00
3. Travel	\$0.00	\$32,900.00	\$0.00
4. Equipment	\$0.00	\$208,000.00	\$0.00
5. Supplies	\$40,000.00	\$9,200.00	\$43,797.00
6. Contractual	\$0.00	\$37,600.00	\$0.00
7. Construction	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$0.00	\$0.00
Total Direct Charges	\$931,537.00	\$2,617,700.00	\$2,204,831.00
Indirect Charges DOH 0%,EFC 25.42%	\$0.00	\$592,000.00	\$322,706.00
Total	\$931,537.00	\$3,209,700.00	\$2,527,537.00
Balance of Available Funds	\$753,463.00	-\$1,613,700.00	\$753,463.00
Reconciliation of Funds			
Funds from FFY 2012	\$1,135,000.00	\$0.00	\$1,135,000.00
Less: Funds Expended	\$931,537.00	\$0.00	\$931,537.00
Balance of Remaining FFY2012	\$203,463.00	\$0.00	\$203,463.00
FFY 2013 Set-Aside Available	\$550,000.00	\$0.00	\$550,000.00
FFY 2013 Set-Aside Needed	\$0.00	\$0.00	\$0.00
FFY 2013 Set-Aside Remaining	\$550,000.00	\$0.00	\$550,000.00
FFY 2015 Set-Aside Available	\$0.00	\$1,596,000.00	\$1,596,000.00
FFY 2015 Set-Aside Needed	\$0.00	\$3,209,700.00	\$1,596,000.00
FFY 2015 Set-Aside Remaining	\$0.00	-\$1,613,700.00	\$0.00

The difference between the available set-aside money and the cost to run the program will be made up by using other resources that may include fees that have been collected by the program.

**DWSRF FFY16 DOH Administrative
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service

\$580,503

a. Personal Service - Existing Positions

Title/Grade	Annual Salary
Info Tech Spec 2, G-18	\$59,260
Info Tech Spec 2, G-18	\$61,165
Info Tech Spec 2, G-18	\$68,716
Info Tech Spec 3, G-23	\$81,152
Info Tech Spec 4, G-25	\$97,340
Secretary 1, G-11	\$48,085
Secretary 1, G-11	\$49,385
Secretary 1, G-11	\$52,625
Health Program Administrator, G-18	\$57,775
TOTAL	\$575,503

b. Non-Employee Services

N/A

c. Overtime

\$5,000

Other Than Personal Services

\$351,034

a. Supplies and Materials

\$ 40,000

General office supplies including photocopier/printer supplies, paper for all printers and copiers, materials for daily business shipping and mailing.

b. Travel	\$ 0
c. Contractual Services	\$ 0
d. Equipment	\$ 0
e. Fringe Benefits @53.58%	\$311,034

Health Insurance
Pensions
Social Security
Workers' Compensation
Employee Benefits Funds
Dental Insurance
Unemployment Benefits
Vision Benefits
Survivors' Benefits

f. Indirect Costs -waiver \$0

The Center for Environmental Health is requesting an indirect waiver for this grant.

WORK PLAN - GRAND TOTAL:

\$931,537

- The full amount of this set-aside will be paid using FFY2012 monies

NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION
DRINKING WATER STATE REVOLVING FUND PROGRAM
FFY 2016 BUDGET

	<u>Amount</u>
<u>Available Funds</u>	
Grant - Admin. Set-Aside	1,596,000
 <u>Projected Expenses</u>	
Personnel	1,545,000
Fringe Benefits (50.83%)	785,000
Supplies & Materials	9,200
Travel	32,900
Equipment Purchase & Maintenance	208,000
Contractual Services	37,600
Total Direct Charges	2,617,700
Indirect Charges (25.42%)	592,000
Total	<u>3,209,700</u>

Notes

¹ Indirect Charges are calculated by adding Personnel costs plus Fringe benefits and then multiplied by 25.42%.

² The total expenses are listed for the administration of the DWSRF program. The

amounts in excess of the grant allowance will be paid from unspent prior grant funds available and or fees.

DWSRF FFY'16 BREAKDOWN OF PROJECTED EXPENSES

Personal Service Costs		1,545,000
Fringe benefits		
Consists of Health insurance, Social Security, Pensions, Worker's Compensation, Dental Insurance, Unemployment Insurance, Vision Benefits, Medicare, Long Term Disability		785,000
Travel		
The Corporation's responsibilities with the Program will have staff travel to IUP hearings, application workshops and bond closings in New York City for DWSRF bond issues.		32,900
Supplies & Materials		
General office supplies: pens, pencils, copier paper folders, calendars, calculators, toner cartridges, etc.	6,200	
Books and Journals	3,000	9,200
Equipment Purchase and Maintenance		
Computers Equipment such as servers, CPU's, monitors, back up systems, security appliance for computer, printer, copiers, etc.		208,000
Contractual Services		
Printing of the DWSRF IUP, maintenance for the Corporation's toll free telephone line, training, insurance, temporary services if needed, postage:		
Training (On going contract):		
The nature of training would consist of Computer Training, CIFA and Tuition Assistance Program	14,900	
Printing	2,900	
Consultants	3,300	
Insurance: DW share of Auto insurance, computer, Liability and Property insurance	3,000	
Postage	300	
Telephone	9,900	
Misc. Contractual	3,300	37,600
Indirect costs		592,000

Grand Total	3,209,700
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TECHNICAL ASSISTANCE SET-ASIDE FFY16

Available Funds	DOH Technical Assistance	Total Technical Assistance
Funds from FFY 2015	\$0.00	\$0.00
FFY 2016 Grant (39,900,000)	\$798,000.00	\$798,000.00
Total Available	\$798,000.00	\$798,000.00
Category of Expenses for FFY 2016		
1. Personal Services	\$486,851.00	\$486,851.00
2. Fringe Benefits (53.58%)	\$260,855.00	\$260,855.00
3. Travel	\$40,294.00	\$40,294.00
4. Equipment	\$0.00	\$0.00
5. Supplies	\$10,000.00	\$10,000.00
6. Contractual	\$0.00	\$0.00
7. Construction	\$0.00	\$0.00
8. Other	\$0.00	\$0.00
Total Direct Charges	\$798,000.00	\$798,000.00
Indirect Charges (18%)	\$0.00	\$0.00
Total	\$798,000.00	\$798,000.00
Balance of Available Funds	\$0.00	\$0.00
FFY 2015 Set-Aside Available	\$798,000.00	\$798,000.00
FFY 2015 Set-Aside Needed	\$798,000.00	\$798,000.00
FFY 2015 Set-Aside Remaining	\$0.00	\$0.00

**DWSRF FFY16 Technical Assistance
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service

\$486,851

a. Personal Service - Existing Positions

Title/Grade	Annual Salary
Public Health Engineer 2, G-24	\$87,768
Public Health Engineer 2, G-24	\$95,457
Laboratory Specialist, G-18	\$62,708
Public Health Engineer 2, G-24	\$87,768
Public Health Engineer 2, G-24	\$95,201
Public Health Engineer 2, G-20	\$57,949
Totals:	\$486,851

b. Non-Employee Services

N/A

c. Overtime

\$0

Other Than Personal Services

\$311,149

a. Supplies and Materials

\$ 10,000

General office supplies, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples

Technical equipment/meters used to test drinking water.

b. Travel

\$ 40,294

The Department's responsibilities and activities associated with the Technical Assistance Program including Small Systems and CPE Program will require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations, and public hearings as required. Program staff expected to be travelling are in the Public Health Engineer 2 title.

c.	Contractual Services	\$0
d.	Fringe Benefits @ 53.58%	\$260,855

Health Insurance
Pensions
Social Security
Workers' Compensation
Employee Benefits Funds
Dental Insurance
Unemployment Benefits
Vision Benefits
Survivors' Benefits

e.	Indirect Costs – waiver	\$0
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The Center for Environmental Health is requesting an indirect waiver for this grant.

EXPENDITURE PLAN - GRAND TOTAL:

\$798,000

Program Management Set-Aside FFY16

Available Funds	Administer PWSS Program	Total State Program Management Set-Aside
Funds from FFY 2014	\$4,000,000.00	\$4,000,000.00
Funds from FFY 2015	\$4,217,600.00	\$4,217,600.00
FFY 2016 Grant (39,900,000)	\$3,990,000.00	\$3,990,000.00
Total Available	\$12,207,600.00	\$12,207,600.00
Category of Expenses for FFY 2016		
1. Personal Services	\$2,709,331.00	\$2,709,331.00
2. Fringe Benefits (53.58%)	\$1,451,660.00	\$1,451,660.00
3. Travel	\$76,000.00	\$76,000.00
4. Equipment	\$0.00	\$0.00
5. Supplies	\$80,000.00	\$80,000.00
6. Contractual	\$454,000.00	\$454,000.00
7. Construction	\$0.00	\$0.00
8. Other	\$0.00	\$0.00
Total Direct Charges	\$4,770,991.00	\$4,770,991.00
Indirect Charges (0%)	\$0.00	\$0.00
Total	\$4,770,991.00	\$4,770,991.00
Balance of Available Funds	\$7,436,609.00	\$7,436,609.00
Reconciliation of Funds		
Funds from FFY 2014	\$4,000,000.00	\$4,000,000.00
Less: Funds Expended	\$4,000,000.00	\$4,000,000.00
Balance of Remaining FFY 2014	\$0.00	\$0.00
Funds from FFY 2015	\$4,217,600.00	\$4,217,600.00
Less: Funds Expended	\$770,991.00	\$770,991.00
Balance of Remaining FFY 2015	\$3,446,609.00	\$3,446,609.00
FFY 2016 Set-Aside Available	\$3,990,000.00	\$3,990,000.00
FFY 2016 Set-Aside Needed	\$0.00	\$0.00
FFY 2016 Set-Aside Remaining	\$3,990,000.00	\$3,990,000.00

**DWSRF FFY16 Program Management
Administer PWSS Program
Budget Back up**

Summary

Proposed Use of Funds:

Total Personal Service

\$ 2,709,331

a. Personal Service - Existing Positions

Title/Grade	Annual Salary
Public Health Engineer 2, G-24	\$82,087
Public Health Engineer 2, G-24	\$97,366
Public Health Engineer 2, G-24	\$97,366
Senior Sanitarian, G-18	\$71,922
Senior Sanitarian, G-18	\$71,922
Principal Sanitarian, G-23	\$83,812
Senior Sanitarian, G-18	\$66,822
Public Hlth Spec. 3, G-23	\$87,520
Principal Sanitarian, G-23	\$87,683
Public Health Engineer 2, G-24	\$97,366
Research Scientist 4, G-27	\$106,130
Public Health Engineer 3, G-27	\$106,130
Public Health Engineer 2, G-24	\$97,366
Public Health Engineer 1, G-20	\$79,516
Senior Sanitarian, G-18	\$66,273
Public Health Engineer 2, G-24	\$97,366
Secretary 1, G-11	\$48,872
Public Health Engineer 2, G-24	\$97,366
Public Hlth Spec. 3, G-23	\$92,620
Research Scientist 2, G-22	\$84,924
Secretary 1, G-11	\$49,382
Public Health Engineer 1, G-20	\$64,785
Public Health Engineer 2, G-24	\$97,366

Public Health Engineer 2, G-24	\$97,366
Research Scientist 4, G-27	\$111,230
Public Hlth Spec. 3, G-23	\$88,463
Research Scientist 2, G-22	\$83,302
Laboratory Specialist, G-18	\$60,446
Research Scientist 4, G-27	\$106,517
Secretary 1, G-11	\$47,095
Public Health Engineer 1, G-20	\$64,785
Public Health Engineer 1, G-20	\$60,268
Jr. Engineer, G-15	\$47,897
Program Management TOTAL	\$2,699,331

b. Non-Employee Services N/A

c. Overtime \$10,000

Other Than Personal Services

\$2,061,660

a. Supplies and Materials \$80,000

General office supplies, software maintenance and licenses, card printer, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples.

b. Equipment \$ 0

c. Travel \$76,000

The Department's responsibilities and activities associated with the Source Protection and Capacity Development programs will require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations and meetings, and to attend public hearings as required. Program staff expected to be travelling are: Public Health Specialists, Sr. Sanitarians, and Public Health Engineers.

d. Contractual Services \$454,000

1) The Department plans to enter into a contract with a chosen vendor to provide, a four-day sanitary training course using USEPA approved course curriculum and training will be provided. The course will combine basic water treatment

knowledge with application of SDWA regulations to identify sanitary deficiencies. \$ 75,000

2) A contract is in place to implement a program of technical assistance for nontransient, noncommunity public water systems and small community water systems, such as mobile home parks. \$240,000

3) An MOU agreement is in effect with SUNY Albany to support the Bureau's work toward identifying and maintaining water service boundaries (information that is essential to understanding the impacts of new rule implementation), improving the quality of data within SDWIS, and maintaining and updating critical infrastructure data. Graduate interns will complete this work. \$ 64,000

4) The Bureau has purchased water analysis services from Certified Laboratories to provide testing of both raw and drinking water for public water systems that are supervised by the Department's District Office staff. These District Offices are located in rural areas throughout NYS and require a local laboratory to perform water testing in order to meet the required temperature and time requirements for water analysis. The contract is ongoing. \$ 75,000

e. Fringe Benefits @53.58% \$1,451,660

Health Insurance
Pensions
Social Security
Workers' Compensation
Employee Benefit Funds
Dental Insurance
Unemployment Benefits
Vision Benefits
Survivors' Benefits

f. Indirect Cost – waiver \$ 0
The Center for Environmental Health will request an indirect waiver for this grant.

EXPENDITURE PLAN - GRAND TOTAL: **\$ 4,770,991**

New York State is required to provide a dollar for dollar match for federal Capitalization Grant funds used for this set-aside. In lieu of providing funds for this match provision, the State plans to credit current funds from the Public Water System Supervision (PWSS) Program. New York State will meet the required match by using a credit (one-half of the funds) for certain expenditures from the PWSS Program in 1993, State over match to the PWSS Program for FFY 2016 and State funds used to finance PWSS activities in delegated county health departments.

NECESSARY STATE MATCH

<u>FFY</u>	<u>Federal Grant</u>	<u>State Match</u>
1997	\$59,167,700	\$11,833,540
1998	\$45,061,600	\$9,012,320
1999	\$47,228,900	\$9,445,780
2000	\$49,084,500	\$9,816,900
2001	\$49,287,400	\$9,857,480
2002	\$62,430,700	\$12,486,140
2003	\$62,055,500	\$12,411,100
2004	\$64,373,600	\$12,874,720
2005	\$64,237,300	\$12,847,460
2006	\$36,636,100	\$7,327,220
2007	\$36,638,000	\$7,327,600
2008	\$36,265,000	\$7,253,000
2009	\$36,265,000	\$7,253,000
2010	\$89,427,000	\$17,885,400
2011	\$62,055,000	\$12,411,000
2012	\$60,923,000	\$12,184,600
2013	\$55,485,000	\$11,097,000
2013 DRAA	\$56,572,914	\$11,314,583
2014	\$42,455,000	\$8,491,000
2015	\$42,176,000	\$8,435,200
2016	\$39,900,000	\$7,980,000
Totals:	\$1,097,725,214	\$219,545,043

DWSRF STATE MATCH

<u>Date</u>	<u>Amount</u>
February 3, 1998	\$44,700,000
July 9, 1998	\$5,200,000
July 9, 1998	\$50,000,000
September 17, 1999	\$100,000
September 26, 2000	\$35,000,000
August 30, 2001	\$50,000,000
September 30, 2002	\$50,000,000
September 9, 2003	\$30,000,000
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	\$265,000,000